

VOTE SUMMARY REPORT

REPORTING PERIOD: 07/01/2022 to 06/30/2023

LOCATION(S): ALL LOCATIONS

INSTITUTION ACCOUNT(S): HORIZONS EQUAL WEIGHT
CANADIAN BANK COVERED CALL ETF

Canadian Imperial Bank of Commerce

Meeting Date: 04/04/2023 **Country:** Canada **Ticker:** CM
Record Date: 02/06/2023 **Meeting Type:** Annual
Primary Security ID: 136069101

Shares Voted: 23,564

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1a	Elect Director Ammar Aljoundi	Mgmt	For	For	For
1b	Elect Director Charles J. G. Brindamour	Mgmt	For	For	For
1c	Elect Director Nanci E. Caldwell	Mgmt	For	For	For
1d	Elect Director Michelle L. Collins	Mgmt	For	For	For
1e	Elect Director Luc Desjardins	Mgmt	For	For	For
1f	Elect Director Victor G. Dodig	Mgmt	For	For	For
1g	Elect Director Kevin J. Kelly	Mgmt	For	For	For
1h	Elect Director Christine E. Larsen	Mgmt	For	For	For
1i	Elect Director Mary Lou Maher	Mgmt	For	For	For
1j	Elect Director William F. Morneau	Mgmt	For	For	For
1k	Elect Director Katharine B. Stevenson	Mgmt	For	For	For
1l	Elect Director Martine Turcotte	Mgmt	For	For	For
1m	Elect Director Barry L. Zubrow	Mgmt	For	For	For
2	Ratify Ernst & Young LLP as Auditors	Mgmt	For	For	For
3	Advisory Vote on Executive Compensation Approach	Mgmt	For	For	For
	Shareholder Proposals	Mgmt			
4	SP 1: Disclose the CEO Compensation to Median Worker Pay Ratio on an Annual Basis	SH	Against	Against	Against
5	SP 2: Advisory Vote on Environmental Policies	SH	Against	Against	Against
6	SP 3: Invest in and Finance the Canadian Oil and Gas Sector	SH	Against	Against	Against

The Bank of Nova Scotia

Meeting Date: 04/04/2023 **Country:** Canada **Ticker:** BNS
Record Date: 02/07/2023 **Meeting Type:** Annual
Primary Security ID: 064149107

The Bank of Nova Scotia

Shares Voted: 20,502

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1.1	Elect Director Nora A. Aufreiter	Mgmt	For	For	For
1.2	Elect Director Guillermo E. Babatz	Mgmt	For	For	For
1.3	Elect Director Scott B. Bonham	Mgmt	For	For	For
1.4	Elect Director Daniel (Don) H. Callahan	Mgmt	For	For	For
1.5	Elect Director W. Dave Dowrich	Mgmt	For	For	For
1.6	Elect Director Lynn K. Patterson	Mgmt	For	For	For
1.7	Elect Director Michael D. Penner	Mgmt	For	For	For
1.8	Elect Director Una M. Power	Mgmt	For	For	For
1.9	Elect Director Aaron W. Regent	Mgmt	For	For	For
1.10	Elect Director Calin Rovinescu	Mgmt	For	For	For
1.11	Elect Director L. Scott Thomson	Mgmt	For	For	For
1.12	Elect Director Benita M. Warmbold	Mgmt	For	For	For
2	Ratify KPMG LLP as Auditors	Mgmt	For	For	For
3	Advisory Vote on Executive Compensation Approach	Mgmt	For	For	For
	Shareholder Proposals	Mgmt			
4	SP 1: Advisory Vote on Environmental Policies	SH	Against	Against	Against
5	SP 2: Report on Client Net-Zero Transition Plans in Relation to Bank's 2030 Emissions Reduction and Net-Zero Goals	SH	Against	Against	Against

Royal Bank of Canada

Meeting Date: 04/05/2023

Country: Canada

Ticker: RY

Record Date: 02/07/2023

Meeting Type: Annual/Special

Primary Security ID: 780087102

Shares Voted: 11,777

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1.1	Elect Director Mirko Bibic	Mgmt	For	For	For
1.2	Elect Director Andrew A. Chisholm	Mgmt	For	For	For
1.3	Elect Director Jacynthe Cote	Mgmt	For	For	For
1.4	Elect Director Toos N. Daruvala	Mgmt	For	For	For
1.5	Elect Director Cynthia Devine	Mgmt	For	For	For
1.6	Elect Director Roberta L. Jamieson	Mgmt	For	For	For

Royal Bank of Canada

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1.7	Elect Director David McKay	Mgmt	For	For	For
1.8	Elect Director Maryann Turcke	Mgmt	For	For	For
1.9	Elect Director Thierry Vandal	Mgmt	For	For	For
1.10	Elect Director Bridget A. van Kralingen	Mgmt	For	For	For
1.11	Elect Director Frank Vettese	Mgmt	For	For	For
1.12	Elect Director Jeffery Yabuki	Mgmt	For	For	For
2	Ratify PricewaterhouseCoopers LLP as Auditors	Mgmt	For	For	For
3	Advisory Vote on Executive Compensation Approach	Mgmt	For	For	For
4	Amend Stock Option Plan	Mgmt	For	For	For
5	Increase Maximum Aggregate Consideration Limit of First Preferred Shares	Mgmt	For	For	For
	Shareholder Proposals	Mgmt			
A	SP 1: Amend the Bank's Policy Guidelines for Sensitive Sectors and Activities	SH	Against	Against	Against
B	SP 2: Revise the Bank's Human Rights Position Statement	SH	Against	Against	Against
C	SP 3: Publish a Third-Party Racial Equity Audit	SH	Against	Against	Against
D	SP 4: Report on 2030 Absolute Greenhouse Gas Reduction Goals	SH	Against	Against	Against
E	SP 5: Adopt a Policy for a Time-Bound Phaseout of the Bank's Lending and Underwriting for Projects and Companies Engaging in Fossil Fuel Exploration	SH	Against	Against	Against
F	SP 6: Disclose the CEO Compensation to Median Worker Pay Ratio on an Annual Basis	SH	Against	Against	Against
G	SP 7: Advisory Vote on Environmental Policies	SH	Against	Against	Against
H	SP 8: Report on Loans Made by the Bank in Support of the Circular Economy	SH	Against	Against	Against

Bank of Montreal

Meeting Date: 04/18/2023

Country: Canada

Ticker: BMO

Record Date: 02/17/2023

Meeting Type: Annual

Primary Security ID: 063671101

Bank of Montreal

Shares Voted: 12,041

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1.1	Elect Director Janice M. Babiak	Mgmt	For	For	For
1.2	Elect Director Sophie Brochu	Mgmt	For	For	For
1.3	Elect Director Craig W. Broderick	Mgmt	For	For	For
1.4	Elect Director George A. Cope	Mgmt	For	For	For
1.5	Elect Director Stephen Dent	Mgmt	For	For	For
1.6	Elect Director Christine A. Edwards	Mgmt	For	For	For
1.7	Elect Director Martin S. Eichenbaum	Mgmt	For	For	For
1.8	Elect Director David E. Harquail	Mgmt	For	For	For
1.9	Elect Director Linda S. Huber	Mgmt	For	For	For
1.10	Elect Director Eric R. La Fleche	Mgmt	For	For	For
1.11	Elect Director Lorraine Mitchelmore	Mgmt	For	For	For
1.12	Elect Director Madhu Ranganathan	Mgmt	For	For	For
1.13	Elect Director Darryl White	Mgmt	For	For	For
2	Ratify KPMG LLP as Auditors	Mgmt	For	For	For
3	Advisory Vote on Executive Compensation Approach	Mgmt	For	For	For
	Shareholder Proposals	Mgmt			
A	SP 1: Advisory Vote on Environmental Policies	SH	Against	Against	Against
B	SP 2: Continue to Invest in and Finance the Canadian Oil and Gas Sector	SH	Against	Against	Against
C	SP 3: Publish a Third-Party Racial Equity Audit	SH	Against	Against	Against

The Toronto-Dominion Bank

Meeting Date: 04/20/2023

Country: Canada

Ticker: TD

Record Date: 02/21/2023

Meeting Type: Annual

Primary Security ID: 891160509

Shares Voted: 7,408

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1.1	Elect Director Cherie L. Brant	Mgmt	For	For	For
1.2	Elect Director Amy W. Brinkley	Mgmt	For	For	For
1.3	Elect Director Brian C. Ferguson	Mgmt	For	For	For
1.4	Elect Director Colleen A. Goggins	Mgmt	For	For	For

The Toronto-Dominion Bank

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1.5	Elect Director David E. Kepler	Mgmt	For	For	For
1.6	Elect Director Brian M. Levitt	Mgmt	For	For	For
1.7	Elect Director Alan N. MacGibbon	Mgmt	For	For	For
1.8	Elect Director Karen E. Maidment	Mgmt	For	For	For
1.9	Elect Director Bharat B. Masrani	Mgmt	For	For	For
1.10	Elect Director Claude Mongeau	Mgmt	For	For	For
1.11	Elect Director S. Jane Rowe	Mgmt	For	For	For
1.12	Elect Director Nancy G. Tower	Mgmt	For	For	For
1.13	Elect Director Ajay K. Virmani	Mgmt	For	For	For
1.14	Elect Director Mary A. Winston	Mgmt	For	For	For
2	Ratify Ernst & Young LLP as Auditors	Mgmt	For	For	For
3	Advisory Vote on Executive Compensation Approach	Mgmt	For	For	For
	Shareholder Proposals	Mgmt			
4	SP 1: Disclose Assessment on Human Rights Risk in Connection with Clients Owning Canadian Multi-Family Rental REITs in Canada	SH	Against	Against	Against
5	SP 2: Amend the Bank's Policy Guidelines for Sensitive Sectors and Activities	SH	Against	Against	Against
6	SP 3: Advisory Vote on Environmental Policies	SH	Against	Against	Against
7	SP 4: Invest in and Finance the Canadian Oil and Gas Sector	SH	Against	Against	Against
8	SP 5: Disclose the CEO Compensation to Median Worker Pay Ratio on an Annual Basis	SH	Against	Against	Against
9	SP 6: Disclose Transition Plan Towards 2030 Emission Reduction Goals	SH	Against	Against	Against

National Bank of Canada

Meeting Date: 04/21/2023

Country: Canada

Ticker: NA

Record Date: 02/22/2023

Meeting Type: Annual

Primary Security ID: 633067103

Shares Voted: 16,708

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1.1	Elect Director Maryse Bertrand	Mgmt	For	For	For
1.2	Elect Director Pierre Blouin	Mgmt	For	For	For
1.3	Elect Director Pierre Boivin	Mgmt	For	For	For

National Bank of Canada

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1.4	Elect Director Yvon Charest	Mgmt	For	For	For
1.5	Elect Director Patricia Curadeau-Grou	Mgmt	For	For	For
1.6	Elect Director Laurent Ferreira	Mgmt	For	For	For
1.7	Elect Director Annick Guerard	Mgmt	For	For	For
1.8	Elect Director Karen Kinsley	Mgmt	For	For	For
1.9	Elect Director Lynn Loewen	Mgmt	For	For	For
1.10	Elect Director Rebecca McKillican	Mgmt	For	For	For
1.11	Elect Director Robert Pare	Mgmt	For	For	For
1.12	Elect Director Pierre Pomerleau	Mgmt	For	For	For
1.13	Elect Director Lino A. Saputo	Mgmt	For	For	For
1.14	Elect Director Macky Tall	Mgmt	For	For	For
2	Advisory Vote on Executive Compensation Approach	Mgmt	For	For	For
3	Ratify Deloitte LLP as Auditors	Mgmt	For	For	For
	Shareholder Proposal	Mgmt			
4	SP 1: Advisory Vote on Environmental Policies	SH	Against	Against	Against